

JPW

**PATENT APPLICATION**  
Attorney Dkt. No.: 7512.125

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

PAYNE et al.

Application No. 09/763,324

Group Art Unit: 1614

Filed: June 18, 2001

Examiner: Delacroix Muirhei

For: MODIFIED CHITOSAN POLYMERS AND ENZYMATIC METHODS  
THE PRODUCTION THEREOF

**INFORMATION DISCLOSURE STATEMENT**

Mail Stop Non-Fee Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Applicant hereby submits this Information Disclosure Statement under 37 C.F.R. §§ 1.56 and 1.97(c) to bring to the attention of the Examiner the enclosed Official Communication of counterpart European patent application 99 945 134.7. Also enclosed is a complete translation of JP 9 239 396, which was enclosed with the European Official Communication. (The English abstract of the Japanese document was previously submitted with the IDS of March 6, 2003.)

Should a final Office Action or a Notice of Allowance have been issued on the same day as or before the filing date of this Information Disclosure Statement, please consider this a Petition under Rule 97(d)(ii), charge the petition fee to our Deposit

Account No. 50-0548 and proceed to consider this Information Disclosure Statement under Rule 97(d).

I hereby certify that each document listed in this Information Disclosure Statement was first cited in a communication (enclosed) from the European Patent Office in connection with counterpart European patent application 99 945 134.7 not more than three months ago, i.e., today is the first business day following the three-month deadline of 37 C.F.R. 1.97(e), as commencing from the February 22, 2005 mail date of the European Official Communication.

Copies of the non-U.S. patent documents are attached and listed on the enclosed Form PTO-1449.

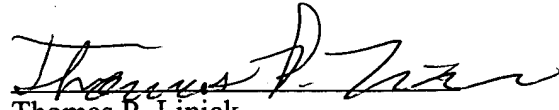
This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the listed documents are material or constitute "prior art." If it should be determined that any of the listed documents do not constitute "prior art" under United States law, Applicant reserves the right to present to the Patent Office the relevant facts and law regarding the appropriate status of such documents.

Applicant further reserves the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

Should any fees be missing or inadequate, please charge the deficiency to Deposit

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Respectfully submitted,

A handwritten signature in dark ink, appearing to read "Thomas P. Liniak", written over a horizontal line.

Thomas P. Liniak

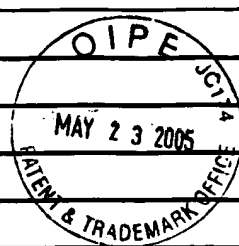
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May 23, 2005

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Substitute for form 1449/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (Use as many sheets as necessary)		<b>Complete if Known</b>	
		Application Number	09/763,324
		Filing Date	June 18, 2001
		First Named Inventor	Gregory F. Payne
		Art Unit	1614
		Examiner Name	Delacroix Muirhei, C
Sheet 1	of 1	Attorney Docket Number	7512.125



NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		English Translation of JP 9 239 396 (1997)	✓

Examiner Signature	Date Considered
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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